328-02

PATENT
Docket No. 204552022100

**Office in Washington, D.C. on January

CERTIFICATE OF HAND DELIVERY

I hereby certify that this correspondence is being hand filed with the United States Patent and Trademark Office in Washington, D.C. on January 23, 2002.

Jinrong Li

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Yasuaki HIRANO

Serial No.: to

to be assigned

Filing Date:

January 22, 2002

For:

NONVOLATILE SEMICONDUCTOR

MEMORY DEVICE CAPABLE OF PREVENTING OCCURRENCE OF

LUTCHUP

Examiner: to be assigned

Group Art Unit: to be assigned

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

Commissioner for Patents Washington, D.C. 20231

Sir:

Pursuant to 37 CFR 1.97 and 1.98, applicant submits for consideration the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted with the filing of the application.

No fee is required.

Applicant would appreciate the Examiner initialing and returning the copy of the Form PTO-1449 indicating that the information has been considered and made of record herein.

dc-296295

This Information Disclosure Statement under 37 CFR 1.97 is not to be construed as a representation that: (I) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the event that the transmittal letter is separated from this document and the Patent and Trademark Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952.

Respectfully submitted,

Dated: January 23, 2002

By:

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